

FEB 07 2013

City of San Jose
Office of the City Clerk

Please type or print in ink.

2013 AUG 15 PM 1:31

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Rocha Donald (SR) Richard

1. Office, Agency, or Court

Agency Name

City of San Jose

Division, Board, Department, District, if applicable

District 9

Your Position

Councilmember

► If filing for multiple positions, list below or on an attachment.

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County _____

☐ County of _____

☒ City of San Jose

☐ Other _____

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2012, through December 31, 2012.

☐ Leaving Office: Date Left ____/____/____
(Check one)

-or-

The period covered is ____/____/____, through December 31, 2012.

☐ The period covered is January 1, 2012, through the date of leaving office.

☐ Assuming Office: Date assumed ____/____/____

☐ The period covered is ____/____/____, through the date of leaving office.

☐ Candidate: Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: _____

☒ Schedule A-1 - Investments - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☒ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

herein and in any attached schedules is true and complete. I do

I certify under penalty of perjury under the laws of the State

Date Signed 02/07/2013

(month, day, year)

Do not attach brokerage or financial statements.

Donald Rocha

▶ NAME OF BUSINESS ENTITY
Verizon

GENERAL DESCRIPTION OF BUSINESS ACTIVITY
Cell Phone Carrier

FAIR MARKET VALUE

☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock ☐ Other _____
(Describe)

☐ Partnership ☐ Income Received of \$0 - \$499
 ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

____/____/ **12** ____/____/ **12**
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY _____

GENERAL DESCRIPTION OF BUSINESS ACTIVITY _____

FAIR MARKET VALUE

☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

☐ Stock ☐ Other _____ (Describe)

☐ Partnership ☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

_____/_____/12 _____/_____/12

ACQUIRED DISPOSED

FPPC Form 700 (2012/2013) Sch. A-1
FPPC Advice Email: advice@fppc.ca.gov
FPPC Toll-Free Helpline: 866/275-3772 www.fppc.ca.gov

SCHEDULE D
Income – Gifts

CALIFORNIA FORM 700
FAIR POLITICAL PRACTICES COMMISSION

Name

Donald Rocha

► NAME OF SOURCE (Not an Acronym)

Cirque Du Soleil

ADDRESS (Business Address Acceptable)

8400, 2E Ave., Montreal, Quebec,

BUSINESS ACTIVITY, IF ANY, OF SOURCE H12 4M6 Canada

Ceremonial Occasion

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

03/02/12 \$100- Cirque du Soleil

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

► NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

► NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

► NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

► NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

► NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy) VALUE DESCRIPTION OF GIFT(S)

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

_____/_____/_____ \$ _____

Comments: _____

CIRQUE DU SOLEIL.



FRIDAY, MARCH 02, 2012

Showtime: 8:00 PM

Site Opens: 7:00 PM

The Grand Chapiteau Opens 7:30 PM

UNDER THE GRAND CHAPITEAU

Taylor Street Bridge, Lot E

SAN JOSE, CA

DOOR SECTION **1** ROW **R**
SEAT **201** SEAT **7**

PREMIERE COMP

TICKET PRICE \$ 0.00
(TAX INCLUDED IF APPLICABLE)

ORDER NUMBER: 001-0465 7149
TICKET: 01428622764597

This ticket may not be used for any advertising or promotional purposes or resold without prior written consent of Cirque du Soleil ("CDS"). Cameras and tape or video recorders are forbidden. Ticket holder assumes all risk, liability and responsibility for all damages incurred in connection with the show, and waives all rights to claim damages or to institute proceedings against CDS, its affiliates, other related entities or persons acting on their behalf or in collaboration with them. CDS reserves the right to refuse access for reasonable motives and to request photo ID. The following show contains flashing lights which may cause difficulties for people with photosensitive epilepsy. This ticket is subject to the Purchase Agreement available at www.cirquedusoleil.com/purchaseagreement.

Latecomers can only be admitted in a suitable break in the performance.
No exchange or refunds.

PREMIERE COMP ADMIT ONE (R1)



01428622764597

7149 (15/50)

© 2011 Cirque du Soleil Inc. All rights reserved / © 2011 Outbox Technology CRB Inc. All rights reserved

CIRQUE DU SOLEIL.



FRIDAY, MARCH 02, 2012

Showtime: 8:00 PM

Site Opens: 7:00 PM

The Grand Chapiteau Opens 7:30 PM

UNDER THE GRAND CHAPITEAU

Taylor Street Bridge, Lot E

SAN JOSE, CA

DOOR SECTION **1** ROW **R**
SEAT **201** SEAT **8**

PREMIERE COMP

TICKET PRICE \$ 0.00
(TAX INCLUDED IF APPLICABLE)

ORDER NUMBER: 001-0465 7149
TICKET: 01560432608655

This ticket may not be used for any advertising or promotional purposes or resold without prior written consent of Cirque du Soleil ("CDS"). Cameras and tape or video recorders are forbidden. Ticket holder assumes all risk, liability and responsibility for all damages incurred in connection with the show, and waives all rights to claim damages or to institute proceedings against CDS, its affiliates, other related entities or persons acting on their behalf or in collaboration with them. CDS reserves the right to refuse access for reasonable motives and to request photo ID. The following show contains flashing lights which may cause difficulties for people with photosensitive epilepsy. This ticket is subject to the Purchase Agreement available at www.cirquedusoleil.com/purchaseagreement.

Latecomers can only be admitted in a suitable break in the performance.
No exchange or refunds.

PREMIERE COMP ADMIT ONE (R1)



01560432608655

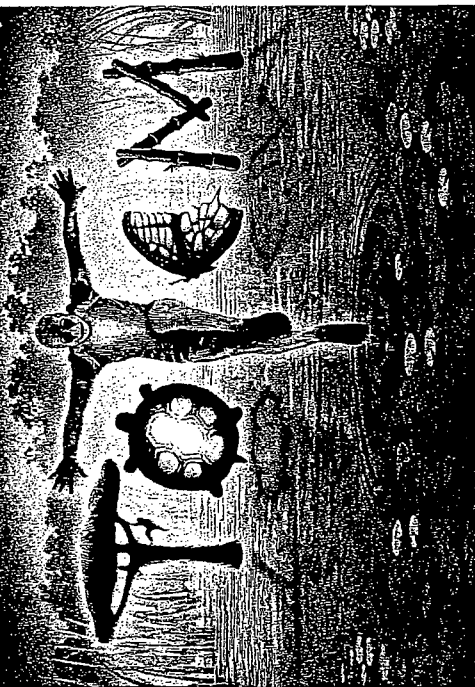
7149 (15/50)

© 2011 Cirque du Soleil Inc. All rights reserved / © 2011 Outbox Technology CRB Inc. All rights reserved

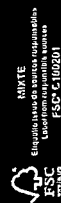
for
Form
700
2012

1 - Ticket
\$100
Value
you
need to
only claim
1 Ticket

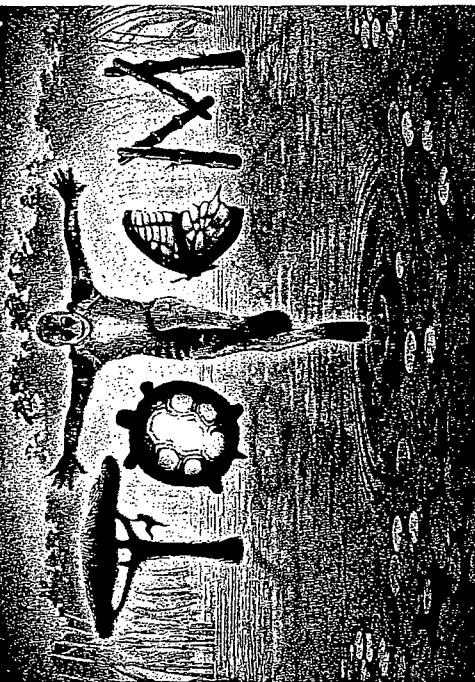
CIRQUE DU SOLEIL®



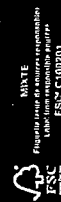
CONÇU ET MIS EN SCÈNE PAR
WRITTEN AND DIRECTED BY
ROBERT LEPAGE



CIRQUE DU SOLEIL®



CONÇU ET MIS EN SCÈNE PAR
WRITTEN AND DIRECTED BY
ROBERT LEPAGE



tsukamoto, Kathy

From: Tsukamoto, Kathy on behalf of Campos, Xavier
Sent: Tuesday, February 21, 2012 3:26 PM
To: Tsukamoto, Kathy
Subject: FW: Direction for Cirque Ticket Acceptance for Friday, March 2nd, 2012
Attachments: 25 Pairs Distribution-guidelines per City Attorney 1.19.12.doc

From: Rodriguez, Anna **On Behalf Of** Ferguson, Fawna
Sent: Tuesday, February 21, 2012 3:06 PM
To: Reed, Chuck; Nguyen, Madison; Constant, Pete; Kalra, Ash; Liccardo, Sam; Chu, Kansan; Campos, Xavier; Oliverio, Pierluigi; Rocha, Donald; Herrera, Rose; Pyle, Nancy; Debra Figone; Walesh, Kim; Shikada, Ed; Moore, Christopher; McDonald, William; Henninger, Ragan; Horwedel, Joseph; Sykes, Dave
Cc: Turnipseed, Tammy; Ferguson, Fawna
Subject: Direction for Cirque Ticket Acceptance for Friday, March 2nd, 2012

Cirque du Soliel "TOTEM" Ticket Disbursement

You are being offered Premiere Performance Cirque du Soliel "TOTEM" performance tickets for Friday, March 2nd, performance at 8:00 p.m.

These tickets are non-transferable, and must be used only by the recipient of this email, if you are not available, you may send a designated representative to sign for you. **Tickets will be available for pick up through Anna Rodriguez (793-4330) on Wednesday, February 22, and Thursday, February 23 on the 4th Floor from 10:00 AM to 2:00 PM.**

Background

Through the negotiated and executed Lease Agreement between the City of San Jose and Cirque du Soleil America, Inc. the City received 25 pairs of tickets for the "Premiere Performance" scheduled for Friday, March 2nd, performance at 8:00 p.m. Acceptance of these tickets is in accordance to Council Policy 9-11 for the purpose of participating in a "ceremonial occasion".

The premiere performance ticket is complimentary however the value of this ticket for reporting purposes is \$100.00 per ticket. As required by Council Policy 9-11 (DISTRIBUTION OF TICKETS OR PASSES TO CITY/AGENCY OFFICIALS) and Cirque management, these tickets are *non-transferable* and must be used only by the recipient. **The second ticket, if you accept it, may be used only by an immediate family member.**

As per Council Policy 9-11, the Ticket Administrator for the Office of Economic Development will submit a completed FPPC Form 802 to the City Clerk **within 30 days**.

Reporting Responsibilities

The Ticket Administrator will report only one (1) ticket accepted by a City or Agency Official on FPPC Form 802. Should the Official accept a second ticket, the second ticket must be reported by the Official on Form 700 (noting the value of the ticket as \$100.00).

DIRECTION FOR CIRQUE TICKET ACCEPTANCE
PERFORMANCE DATE: MARCH 2, 2012

Through the negotiated and executed Lease Agreement between the City of San Jose and Cirque du Soleil America, Inc. the City received 25 pairs of tickets for the "Premiere Performance" scheduled for Friday, March 2nd, performance at 8:00 p.m. Acceptance of these tickets is in accordance to Council Policy 9-11 for the purpose of participating in a "ceremonial occasion".

The private preview performance ticket is complimentary however the value of this ticket for reporting purposes is \$100.00 per ticket. As required by Council Policy 9-11 (DISTRIBUTION OF TICKETS OR PASSES TO CITY/AGENCY OFFICIALS) and Cirque management, these tickets are *non-transferable* and must be used only by the recipient. **The second ticket, if you accept it, may be used only by an immediate family member.**

As per Council Policy 9-11, the Ticket Administrator for the Office of Economic Development will submit a completed FPPC Form 802 to the City Clerk **within 30 days**.

Reporting Responsibilities

The Ticket Administrator will report only one (1) ticket accepted by a City or Agency Official on FPPC Form 802. Should the Official accept a second ticket, the second ticket must be reported by the Official on Form 700 (noting the value of the ticket as \$100.00).

Tickets from Other Sources:

If you receive tickets directly from Cirque du Soliel, you may accept them under the City's gift ordinance, as tickets from the "sponsor of an event", but you will need to report those tickets as gifts on your Form 700. If you receive tickets from any other party, the City's Gift ordinance prohibits acceptance."

Reminder

If a City official or employee receives gifts totaling \$420 from a single donor within the calendar year, he or she will be disqualified from participating in any decision about the donor for 12 months from the date the value of the gifts total \$420.

Tickets from Other Sources:

If you receive tickets directly from Cirque du Soliel, you may accept them under the City's gift ordinance, as tickets from the "sponsor of an event", but you will need to report those tickets as gifts on your Form 700. If you receive tickets from any other party, the City's Gift ordinance prohibits acceptance."

Reminder

If a City official or employee receives gifts totaling \$420 from a single donor within the calendar year, he or she will be disqualified from participating in any decision about the donor for 12 months from the date the value of the gifts total \$420.

Regards,

Fawna Ferguson
Promotions and Events Officer
City of San Jose, Office of Cultural Affairs, Economic Development
200 E. Santa Clara Street, 4th Floor
San Jose, CA 95113
P (408) 793-4350
F (408) 971-2597
C (408) 203-0619

Please type or print in ink.

2013 AUG 15 PM 1:32

2013 FEB 21 PM 3:51

Cam etc.

NAME OF FILER

(LAST)

(FIRST)

(MIDDLE)

ROCHA

Don

76

S.D.

1. Office, Agency, or Court

Agency Name

City of San Jose

Division, Board, Department, District, if applicable

District 9

Your Position

Councilmember

► If filing for multiple positions, list below or on an attachment.

Agency: _____

Position: _____

2. Jurisdiction of Office (Check at least one box)

☐ State

☐ Judge or Court Commissioner (Statewide Jurisdiction)

☐ Multi-County _____

☐ County of _____

☒ City of San Jose

☐ Other _____

3. Type of Statement (Check at least one box)

☒ Annual: The period covered is January 1, 2012, through December 31, 2012.

☐ Leaving Office: Date Left ____/____/____
(Check one)

-or-

The period covered is ____/____/____, through December 31, 2012.

☐ The period covered is January 1, 2012, through the date of leaving office.

☐ Assuming Office: Date assumed ____/____/____

☐ The period covered is ____/____/____, through the date of leaving office.

☐ Candidate: Election year _____ and office sought, if different than Part 1: _____

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: _____

☒ Schedule A-1 - Investments - schedule attached

☐ Schedule C - Income, Loans, & Business Positions - schedule attached

☐ Schedule A-2 - Investments - schedule attached

☒ Schedule D - Income - Gifts - schedule attached

☐ Schedule B - Real Property - schedule attached

☐ Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

☐ None - No reportable interests on any schedule

herein and in any attached schedules is true and complete. I do

I certify under penalty of perjury under the laws of the State

Date Signed 02/20/2013

(month, day, year)



CITY OF SAN JOSÉ, CALIFORNIA

Office of the City Clerk
200 East Santa Clara Street, Wing
San José, California 95113
Telephone 1 (408) 535-1261
FAX 1 (408) 292-6207

RECEIVED
San Jose City Clerk

2013 FEB 25 PM 2:47

FAMILY GIFT REPORTING FORM

Pursuant to the City's Gift Ordinance, Chapter 12.08 of the San Jose Municipal Code, all consultants, contract employees, officers and designated employees of the City and its Redevelopment Agency must file this form with the City or Agency, together with the annual Statement of Economic Interests (Form 700).

You must list below any reportable gifts known to have been accepted by your domestic partner, spouse and any dependent child (Section 12.08.050) during the previous calendar year. Gifts that must be reported are those that would be prohibited had they been given to you. Refer to Section 12.08.010 and 12.08.020 to determine whether a particular gift must be reported. Section 12.08.030 lists the gifts that are not prohibited and do not need to be reported.

PLEASE TYPE OR PRINT IN INK

Name of Filer Donald Rocha Phone 408 535-4909

Name of Agency Council Office

CHECK APPROPRIATE ITEM

- ☐ I do not have a spouse, domestic partner or any dependent children.
- ☒ I have no knowledge that my spouse, domestic partner or any dependent child has received a reportable gift.
- ☐ My spouse, domestic partner or dependent children have, to my knowledge, received the following gifts:

PLEASE LIST EACH GIFT SEPARATELY

DATE	RECIPIENT (Spouse/Domestic Partner/Child)	GIFT	DONOR	VALUE

VERIFICATION

I, _____
City Clerk,
do hereby certify that the information furnished by the filer is true and correct.

(Signature)

(Signature)